STATE OF ILLINOIS SECRETARY OF STATE SECURITIES DEPARTMENT

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IN THE MATTER OF: GARY B. MARTIN)	FILE NO. 1000471
)	

CONSENT ORDER OF DENIAL

TO THE RESPONDENT:

Gary B. Martin (CRD#: 729331) 25 East 52" Street

Indianapolis, Indiana 46205

Gary B. Martin (CRD#: 729331)

C/o International Financial Solutions, Inc. 303 Perimeter Center North Suite 300

Atlanta, Georgia 30346

WHEREAS, Respondent on the 7th day of March 2011 executed a certain Stipulation to Enter Consent Order of Denial (the "Stipulation"), which hereby is in corporate by reference herein.

WHEREAS, by means of the Stipulation, Respondent has admitted to the jurisdiction of the Secretary of State and service of the Notice of Hearing of the Secretary of State, Securities Department dated February 1, 2011 in this proceeding (the "Notice") and Respondent has consented to the entry of this Consent Order of Denial ("Consent Order").

WHEREAS, by means of the Stipulation, the Respondent acknowledged, without admitting or denying the truth thereof, that the following allegations contained in the Notice of Hearing shall be adopted as the Secretary of State's Findings of Fact:

- 1. That on December 10, 2010, International Financial Solutions, Inc. a registered dealer, filed a Form U-4 application for registration of the Respondent as a salesperson in the State of Illinois pursuant to Section 8 of the Act.
- 2. That on May 20, 2010 FINRA entered a Letter Of Acceptance, Waiver And Consent (AWC) submitted by the Respondent regarding File No. 2009018626901 Which sanctioned the Respondent as follows:

- a. two-month suspension from association with any FINRA member in any capacity; and
- b.. fined \$5,000.
- 3. That the AWC found:

OVERVIEW

The Respondent signed a customer's name to a form without her authorization or consent. This conduct violated NASD Conduct Rule 2110.

FACTS AND VIOLATIVE CONDUCT

On about December 18, 2007, the Respondent signed a customer's name to a distribution form to effectuate a required minimum distribution from her IRA account. The Respondent signed the customer's name without her authorization or consent. This conduct violated NASD Conduct Rule 2110.

- 4. That Section 8.E(1)(j) of the Act provides, inter alia, that the registration Of a salesperson may be denied if the Secretary of State finds that such Salesperson has been suspended by any self-regulatory organization Registered under the Federal 1934 Act or the Federal 1974 Act arising from any fraudulent or deceptive act or a practice in violation of any rule, regulation or standard duly promulgated by the self-regulatory Organization.
- 5. That FINRA is a self-regulatory organization as specified in Section 8.E(1)(j) of the Act.
- 6. That by virtue of the foregoing, the Respondent's registration as a Salesperson in the State of Illinois is subject to denial pursuant to Section 8.E (1)(j) of the Act.

WHEREAS, by means of the Stipulation Respondent has acknowledged, without admitting or denying the averments, that the following shall be adopted as the Secretary of State's Conclusion of Law:

That by virtue of the foregoing, the Respondent's registration as a salesperson in the State of Illinois is subject to denial pursuant to Section 8.E(1)(j) of the Act.

WHEREAS, by means of the Stipulation Respondent has acknowledged and agreed that his registration as a salesperson in the State of Illinois shall be <u>denied</u>.

WHEREAS, the Secretary of State, by and through his duly authorized representative, has determined that the matter related to the aforesaid formal hearing may be dismissed without further proceedings.

NOW THEREFORE IT SHALL BE AND IS HEREBY ORDERED THAT:

- 1. The Respondent's registration as a salesperson in the State of Illinois shall be denied.
- 2. The formal hearing scheduled on this matter is hereby dismissed without further proceedings.

ENTERED- This 10th day of March 2011.

JESSE WHITE
Secretary of State
State of Illinois

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